

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09801848	
	Filing Date		2001-03-08	
	First Named Inventor	Keith		
	Art Unit	3693		
	Examiner Name	Kenneth Bartley		
	Attorney Docket Number	125462		

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1	U.S. Securities and Exchange Commission, "Special Study: Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Economic Analysis, December 2000, <http://www.sec.gov/news/studies/ordpay.htm> [retrieved March 25, 2008], pages 1 and 12-14.	<input type="checkbox"/>
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